

EXPRESS MAIL NO. EV 657810673 US

PATENT APPLICATION

Docket No: 16169.4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re application of | |) | |
| | |) | |
| | Saito, et al. |) | |
| | |) | |
| Serial No.: | 10/532,564 |) | Art Unit |
| | |) | 3723 |
| Confirmation No.: | 6718 |) | |
| | |) | |
| Filed: | April 22, 2005 |) | |
| | |) | |
| For: | GLASS SUBSTRATE FOR INFORMATION |) | |
| | RECORDING MEDIUM AND METHOD FOR |) | |
| | MANUFACTURING SAME. |) | |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
 (Under 37 CFR 197(b) or 1.97(c))

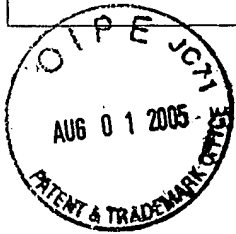
 Docket No.
 16169.4 #3

In Re Application Of:

Saito, et.al.

10/532564

| Application No. | Filing Date | Examiner | Customer No | Group Art Unit | Confirmation No. |
|-----------------|-------------|------------------|-------------|----------------|------------------|
| 10/532,564 | 04/22/2005 | Not Yet Assigned | 022913 | 3723 | 6718 |

Title:
 GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME.

 Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);
OR
☐ the fee set forth in 37 CFR 1.17(p).

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98 a copy of the listed Non U.S. Patent references or relevant portion thereof is also enclosed.

The accompanying Form PTO-1449 notifies the Examiner of the specified related pending U.S. patent applications listed therein. This notice is not an admission that the claims of the present application and the claims of the specified related pending patent applications are substantially similar or that the specified related applications represent prior art with respect to the present application.

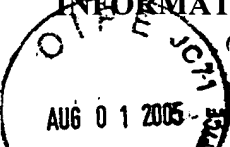
DATED July 31, 2005.

Respectfully submitted,



R. Burns Israelsen
Attorney for Applicant
Registration No. 42,685
Customer No. 022913

RBI: lao

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|  <p style="text-align: center;">INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> | Docket number (Optional) 16169.4 | Application Number 10/532,564 |
| | Applicant(s) Saito, et al. | |
| | Filing Date 04/22/2005 | Group Art Unit 3723 |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|-----|-----------------|------------|---------------|-------|----------|----------------------------|
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | 6,491,572 | 12/10/2002 | Horie, et al. | 451 | 63 | 10/10/2000 |
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| U.S. PATENT APPLICATION PUBLICATIONS | | | | | | | |
|--------------------------------------|-----|-----------------|------------|---------------|-------|----------|----------------------------|
| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | | 2002/0127432 | 09/12/2002 | Saito, et al. | 428 | 694 | 12/17/2001 |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-----|-----------------|------------|---------|-------|----------|-------------|----|
| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
| | | | | | | | YES | NO |
| | | 2002/251716 | 06/09/2002 | JP | | | | |
| | | 2002/133649 | 05/10/2002 | JP | | | | |
| | | 2001/341058 | 12/11/2001 | JP | | | | |
| | | 2001/294447 | 10/23/2001 | JP | | | | |
| | | 5/342532 | 12/24/1993 | JP | | | | |
| | | 2002/150547 | 05/24/2002 | JP | | | | |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Docket No.
16169.4

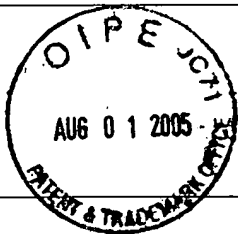
Applicant(s): Saito, et al.

Application No.
10/532,564Filing Date
04/22/2005Examiner
Not Yet AssignedCustomer No
022913Group Art Unit
6718**Invention:**

GLASS SUBSTRATE FOR INFORMATION RECORDING MEDIUM AND METHOD FOR MANUFACTURING SAME.

I hereby certify that the following correspondence:

(see below)



(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

8/1/05
(Date)Liz Maese

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in dark ink, appearing to read "Liz Maese".

(Signature of Person Mailing Correspondence)

EV 657810673 US

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